

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2014/15 AUDIT PLAN AS AT 31/03/15

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

Leader

'A stronger city'

External Funding & Grants	5	2.4	One off task complete	N/A	N/A			
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Enabling Services

'A well run Council'

'Maintain our property assets of the city'

Main Accounting 2014-15	25	27						
- Pt 1 Budget setting			Complete	↔	Good	0	0	0
- Pt 2 System management			In progress					
Income Management/Sundry Debt	35	38.8	Draft report					
Procurement	30	7.8	c/f 15/16					
Creditors	50	49.1						
Quarter 1			Complete	↑	Good	0	5	3
Quarter 2			Complete	↔	Good	0	2	5
Treasury Management	5	5.6	Complete	↔	Good	0	2	4
People Management	35	45.8	Complete	No previous report	Some improvement required	0	8	11
Payroll			Complete	↔	Some improvement required	0	7	8
ICT – Shared service	30	17	No report due					
Business Continuity	15	0.5	Will not be undertaken due to VR/savings					
Risk Management	10	9	Complete	No previous report	Significant Improvement Required	2	12	2
Contracts	5	0.2	Will not be undertaken due to VR/savings					
Counter Fraud/Anti-Fraud	15	26.1	Completed	N/A	N/A Self assessment	-	-	-
Corporate governance	15	12	Ongoing					

Information Governance	10	11.5	No report due					
Equalities & Diversity	10	0.2	Will not be undertaken due to VR/savings					
Safeguarding	15	18	Completed	No previous report	Some improvement required	0	8	2
Partnerships	5	0.9	Combined with Corp Gov work					
External Audit	5	5.7	No report due					

Customer Access

'Help me with my housing and financial problem'

Housing Benefit Subsidy	50	54.5	In progress					
Council Tax	30	34.2	Complete	↔	Some improvement required	1	6	11
NDR	12	13.3	Complete	↓	Some improvement required	2	5	4
One View of Debt (OVD)	25	25.6	Complete	No previous report	Significant improvement required	8	2	7
Housing - Tenants	25	39.5	Complete	No previous report	Some improvement required	0	5	5

Housing

'Provide suitable housing'

'Be a good landlord'

Housing - Property	15	1.4	Time used for Housing tenants as requested. Recharges to be looked at 15/16					
Private Sector Housing	10	0	As above					

Environment, Health & Wellbeing

'Keep place looking good'

'Keep me/my environment safe and healthy'

Health & Safety	10	13.8	No report due					
Licensing	5	8.1	Completed	No previous report	Some improvement required	1	4	5

Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

Culture/Events (Rugby World Cup)	2	0	Ongoing					
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Museums	11	17	Completed	No previous report	Some improvement required	0	5	10
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City Development

'Deliver good development'

Planning	5	2.6	In progress					
New Homes Bonus	8	9.2	Completed	No previous report	Excellent	0	0	0
CIL/Section 106	8	0.1	In progress					

Public Realm

'Keep place looking good'

Car Parks	10	0.7	c/f 15/16					
CCTV	5	5.9	Completed	No previous report	Good	0	4	2
Homecall	5	5.4	Completed	No previous report	Good	0	3	1

Contingency (special/frauds) including unplanned work	29	20.4
Follow-ups	10	11.3
PSIAS Peer Review	2	2.1
Total	597 *(less 46=551)	542.7*

***Note: 26 days lost from plan due to voluntary redundancy of part time auditor. Also 20 days had been planned for provision by third party, however, this was not commissioned and used towards savings required.**

Available time to work towards planned work was also lost due to increased time for corporate mandatory training and additional help with elections.